

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Rivest et al.

Application No.: 10/553,611

Confirmation No.: 1989

Filed: January 23, 2004

Art Unit: N/A

For: MICROPAYMENT PROCESSING METHOD
AND SYSTEM

Examiner: Not Yet Assigned

SECOND RENEWED PETITION UNDER 37 CFR 1.47(a)

Mail Stop PCT
Commissioner for Patents
Office of PCT Legal Administration
P.O. Box 1450
Alexandria, VA 22313-1450

Attn: Rafael Bacares

Sir:

In response to the Decision on Renewed Petition under 37 C.F.R. § 1.47(a) mailed February 27, 2008 ("the Decision on Renewed Petition"), Applicants hereby submit the following:

- A. A Second Renewed Petition under 37 C.F.R. § 1.47(a) in the above identified application, in which two joint inventors cannot be reached or refuse to join;
- B. The petition fee of \$200 under 37 C.F.R. § 1.17(g);

Attachment A – Declaration submitted February 26, 2007;

Attachment B – Declaration submitted January 08, 2008;

Attachment C – Declaration of Kellie S. Bickel Filed in Support of
Second Renewed Petition under 37 C.F.R. § 1.47(a); and

Attachment D – Decision on Renewed Petition under 37 C.F.R. §
1.47(a) mailed February 27, 2008.

A. Second Renewed Petition under 37 C.F.R. § 1.47(a) in which two joint inventors cannot be reached or refuse to join

The following individuals are listed as inventors on the above-identified application ("the Application"): Ronald L. Rivest, Silvio Micali, Perry Solomon, Robert Nix, Robert Carney, Prasad Jonnalagadda, Joseph Bergeron III, and Mark Bates. Each of these individuals, with the exception of Perry Solomon and Robert Carney has signed a Declaration in this Application. This Second Renewed Petition under 37 C.F.R. § 1.47(a) is made on behalf of the signing inventors Ronald L. Rivest, Silvio Micali, Robert Nix, Prasad Jonnalagadda, Joseph Bergeron III, and Mark Bates on behalf of themselves and on behalf of the two non-signing inventors, Perry Solomon and Robert Carney.

1. A Declaration of Inventorship (the "first filed Declaration", Attachment A), was filed along with a Petition under 37 C.F.R. § 1.47(a) on February 26, 2007. A Declaration of Inventorship was subsequently executed by Prasad Jonnalagadda, and was filed along with a Renewed Petition under 37 C.F.R. § 1.47(a) on January 08, 2008 (the "second filed Declaration", Attachment B).

2. The last known addresses of the inventors who cannot be reached or refuse to sign are:

- (A) Perry Solomon
111 85th Street E #17G, New York, New York, 10028, and
- (B) Robert Carney
15 Newman Street, Cambridge, Massachusetts, 02140.

3. In the Decision on Renewed Petition, the Examiner determined that "although the averments of Ms. Kellie S. Bickel and the signed postal receipts are

sufficient to support a *bona fide* attempt...to present a copy of the application papers...to the non-signing inventors for their signatures, the time period for their responses before filing the petition was too short." (Decision on Renewed Petition, pg. 2, paragraph 6). Applicants' representatives have subsequently mailed a second courtesy package, including a second copy of the application papers, with an extended requested reply date. In a telephone conference on April 21, 2008 with the undersigned representative, Examiner Bacares indicated that the mailing of the second courtesy package with the extended requested reply date provided the non-signing inventors, Perry Solomon and Robert Carney, a sufficient time period for review of the application papers and response to the reply request.

4. Perry Solomon and Robert Carney refuse to sign a Declaration of Inventorship. The circumstances of the presentation of application papers and refusal are evidence by the statement of facts set forth in the attached Declaration of Kellie S. Bickel (Attachment C), filed in support of the Second Renewed Petition under 37 C.F.R. § 1.47(a). The conduct of Perry Solomon and Robert Carney constitutes a refusal to sign, or at least indicates that they cannot be reached, as evidenced by the attached Declaration of Kellie S. Bickel (Attachment C). Kellie S. Bickel is the person who determined that Perry Solomon and Robert Carney could not be reached or refused to sign a Declaration (M.P.E.P. 409.03(a) and 409.03(d)).

B. Petition Fee under 37 C.F.R. § 1.17(g)


The petition fee of \$200 under 37 C.F.R. § 1.17(g) is submitted via EFT Account.

Based on the above and Attachments A-C, Applicants respectfully request that the Commissioner grant this Second Renewed Petition under 37 C.F.R. 1.47(a).

Applicant believes all fees due in connection with this filing are submitted herewith. However, if a fee is due, the Commissioner is authorized to charge our Deposit Account No. 50-0665, under Order No. 401768005US2 from which the undersigned is authorized to draw.

Dated: April 24, 2008

Respectfully submitted,

By 
Kellie S. Bickel
Registration No.: 46,386
PERKINS COIE LLP
P.O. Box 1247
Seattle, Washington 98111-1247
(206) 359-8000
(206) 359-7198 (Fax)
Agent for Applicant

Attachment A

Declaration submitted February 26, 2007

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I HEREBY DECLARE:

THAT my residence, post office address, and citizenship are as stated below next to my name;

THAT I believe I am the original, first, and sole inventor (if only one inventor is named below) or an original, first, and joint inventor (if plural inventors are named below or in an attached Declaration) of the subject matter which is claimed and for which a patent is sought on the invention entitled

MICROPAYMENT PROCESSING METHOD AND SYSTEM

PEPL-0112

(Attorney Docket No. 082083-0104)

the specification of which (check one)

 is attached hereto.

 X was filed on 10/18/2005 as United States Application Number or PCT International Application Number 10/553,611 and was amended on (if applicable).

THAT I do not know and do not believe that the same invention was ever known or used by others in the United States of America, or was patented or described in any printed publication in any country, before we invented it;

THAT I do not know and do not believe that the same invention was patented or described in any printed publication in any country, or in public use or on sale in the United States of America, for more than one year prior to the filing date of this United States application;

THAT I do not know and do not believe that the same invention was first patented or made the subject of an inventor's certificate that issued in any country foreign to the United States of America before the filing date of this United States application if the foreign application was filed by us, or by our legal representatives or assigns, more than twelve months (six months for design patents) prior to the filing date of this United States application;

THAT I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above;

THAT I believe that the above-identified specification contains a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention, and sets forth the best mode contemplated by me of carrying out the invention; and

THAT I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I HEREBY CLAIM foreign priority benefits under Title 35, United States Code §119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number	Country	Foreign Filing Date	Priority Claimed?	Certified Copy Attached?
PCT/US04/01845	WO	January 25, 2003	Yes	No

I HEREBY CLAIM the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

U.S. Provisional Application Number	Filing Date
60/442,486	1/25/03
60/456,741	3/21/03

I HEREBY CLAIM the benefit under Title 35, United States Code, §120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of

Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Application Number	Parent Filing Date	Parent Patent Number

I HEREBY APPOINT the registered attorneys and agents at Customer Number

48329

to have full power to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent, and to transact all business in the United States Patent and Trademark Office connected therewith.

I request that all correspondence be directed to:

Mark G. Lappin
FOLEY & LARDNER LLP
111 Huntington Avenue
Boston, MA 02199

Telephone: 617.342.4000
Facsimile: 617.342.4001

I UNDERSTAND AND AGREE THAT the foregoing attorneys and agents appointed by me to prosecute this application do not personally represent me or my legal interests, but instead represent the interests of the legal owner(s) of the invention described in this application.

I FURTHER DECLARE THAT all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Name of 1st inventor

Ronald L. Rivest

Residence

41 Academy Street, Arlington, MA 02476

Citizenship

U.S.A.

Post Office Address

same

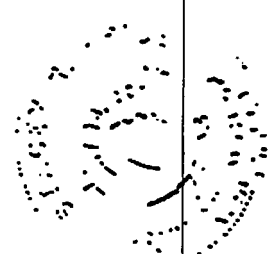
Inventor's signature

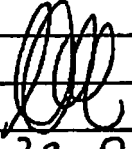
Ronald L. Rivest

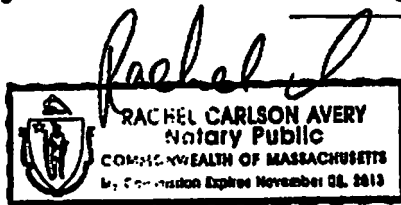
Date

2/17/07

On this 17th day of February, 2007, before
me, the undersigned notary public, personally appeared
Ronald L. Rivest
(posed to me through satisfactory evidence of identification,
which was Miss Drinsky, to be the person whose
name is signed on the preceding or attached document and
acknowledged to me that he/she signed it voluntarily for its stated
purpose.
Stacey R. Wallace
STACEY R. WALLACE Notary Public
My Commission Expires May 25, 2012



Name of 2nd inventor	Silvio Micali
Residence	459 Chestnut Hill Avenue, Brookline, MA 02146
Citizenship	U.S.A.
Post Office Address	same
Inventor's signature	
Date	2. 20. 07



Name of 3rd inventor

Perry Solomon

Residence

18 Wilcox Avenue, Pawtucket, RI 02860

Citizenship

U.S.A.

Post Office Address

same

Inventor's signature

Date

Name of 3rd inventor	Robert Nix
Residence	197 Belknap Street, Concord, MA 01742
Citizenship	U.S.A.
Post Office Address	same
Inventor's signature	<i>[Handwritten Signature]</i>
Date	2-26-2007

Name of 3rd inventor

Robert Carney

Residence

15 Newman Street, Cambridge, MA 02140

Citizenship

U.S.A.

Post Office Address

same

Inventor's signature

Date

Name of 3rd inventor	Prasad Jonnalagadda
Residence	72 Pearl Street, Newton, MA 02458
Citizenship	U.S.A.
Post Office Address	same
Inventor's signature	
Date	

Name of 3rd inventor	Joseph Bergeron III
Residence	41 St. Germain Street, Boston, MA 02114
Citizenship	U.S.A.
Post Office Address	same
Inventor's signature	JCB
Date	Feb 20, 2007

Name of 3rd inventor

Mark Bates

Residence

64 Regent Road, Malden, MA 02148

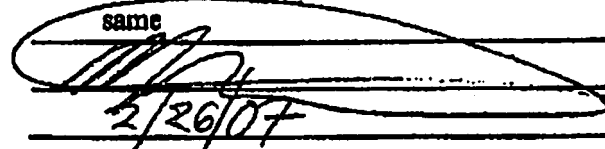
Citizenship

U.S.A.

Post Office Address

same

Inventor's signature



Date

2/26/07

Attachment B

Declaration submitted January 08, 2008

Declaration for Patent Application English Language Declaration		Attorney Docket No.	401768005US2	
		First Named Inventor	Ronald L. Rivest	
		COMPLETE IF KNOWN:		
<input type="checkbox"/> Submitted with initial filing <input checked="" type="checkbox"/> Submitted after Initial filing (surcharge required 37 CFR 1.16(e))	Application No.	10/553,611-Conf. #1989		
	Filing Date	January 23, 2004		
	Art Unit	N/A		
	Examiner	Not Yet Assigned		

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

MICROPAYMENT PROCESSING METHOD AND SYSTEM

the specification of which

☐ Is attached hereto
OR
☒ was filed on 01/23/2004
as United States Application No. or PCT International Application No. 10/553,611
and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign applications(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's right certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)			Priority Not Claimed	Certified Copy Attached	
				YES	NO
(Number)	(Country)	(Filing Date)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Filing Date)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Filing Date)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional prior foreign applications are listed on a supplemental data sheet attached hereto.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

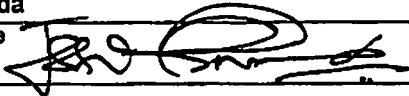
Full name of sole or first inventor Ronald L. Rivest	
Sole or first inventor's signature	Date
Residence Arlington, Massachusetts	
Citizenship US	
Mailing Address 41 Academy Arlington, Massachusetts 02476	

Full name of second inventor Silvio Micali	
Second inventor's signature	Date
Residence Brookline, Massachusetts	
Citizenship US	
Mailing Address 459 Chestnut Hill Avenue Brookline, Massachusetts 02146	

Full name of third inventor Perry Solomon	
Third inventor's signature	Date
Residence Pawtucket, Rhode Island	
Citizenship US	
Mailing Address 18 Wilcox Avenue Pawtucket, Rhode Island 02860	

Full name of fourth inventor, if any Robert Nix	
Fourth inventor's signature	Date
Residence Concord, Massachusetts	
Citizenship US	
Mailing Address 197 Belknap Street Concord, Massachusetts 01742	

Full name of fifth inventor, if any Robert Carney	
Fifth inventor's signature	Date
Residence Cambridge, Massachusetts	
Citizenship US	
Mailing Address 15 Newman Street Cambridge, Massachusetts 02140	

Full name of sixth inventor, if any Prasad Jonnalagadda	
Sixth inventor's signature 	Date 11/24/07
Residence Acton, Massachusetts	
Citizenship US	
Mailing Address 43 Minot Avenue Acton, Massachusetts 01720	

Full name of seventh inventor, if any Joseph Bergeron	
Seventh inventor's signature	Date
Residence Palo Alto, California	
Citizenship US	
Mailing Address 1775 Alma Street Palo Alto, California 94301	

Full name of eighth inventor, if any Mark Bates	
Eighth inventor's signature	Date
Residence Malden, Massachusetts	
Citizenship US	
Mailing Address 64 Regent Road Malden, Massachusetts 02148	

Attachment C

**Declaration of Kellie S. Bickel
Filed in Support of Second Renewed Petition under 37 C.F.R. § 1.47(a)**

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Rivest et al.

Application No.: 10/553,611

Confirmation No.: 1989

Filed: January 23, 2004

Art Unit: N/A

For: MICROPAYMENT PROCESSING METHOD
AND SYSTEM

Examiner: Not Yet Assigned

DECLARATION OF KELLIE S. BICKEL
FILED IN SUPPORT OF SECOND RENEWED PETITION UNDER 37 C.F.R. § 1.47(a)

Mail Stop PCT
Commissioner for Patents
Office of PCT Legal Administration
P.O. Box 1450
Alexandria, VA 22313-1450

Attn: Rafael Bacares

Sir:

I, Kellie S. Bickel, hereby declare and say that:

1. I am a patent agent and an authorized representative of Chockstone, Inc., the owner of the above-identified application ("the Application").

2. I am familiar with and have first-hand knowledge of the facts recited below.

3. The following individuals are listed as inventors on the Application: Ronald L. Rivest; Silvio Micali; Perry Solomon; Robert Nix; Robert Carney; Prasad Jonnalagadda; Joseph Bergeron III; and Mark Bates. Each of these individuals, with the exception of Perry Solomon and Robert Carney, has signed a Declaration in this Application.

4. A bona fide attempt was made to present a copy of the Application papers (specification, including claims, drawings and oath or declaration) to the non-signing inventors, Mr. Solomon and Mr. Carney, for signature. The facts which are relied upon to establish this bona fide attempt to have Mr. Solomon and Mr. Carney sign a Declaration in this Application are as follows:

a. On December 18, 2007, I sent a first package to Mr. Solomon, via U.S. Postal Service Restricted Delivery Certified Mail No.: 7004 2890 0000 2852 3684 to the last known address of Mr. Solomon as set forth below:

Mr. Perry Solomon
111 85th Street E #17G
New York, New York, 10028

The package included: (1) a copy of the Application, entitled MICROPAYMENT PROCESSING METHOD AND SYSTEM, (2) an explanation of the Duty of Disclosure, (3) an original Declaration form, (4) an Assignment form, and (5) a cover letter requesting Mr. Solomon to sign the Declaration. A copy of the cover letter, certified mail receipt, and signed return delivery receipt, attached hereto as Exhibit A, indicate that Mr. Richard Giove signed for this package on December 22, 2007 and the U.S. Postal Service accepted this signature on behalf of Mr. Solomon.

b. On December 18, 2007, I sent a package to Mr. Carney, via U.S. Postal Service Restricted Delivery Certified Mail No.: 7003 2260 0006 4290 4629 to the last known address of Mr. Carney as set forth below:

Mr. Robert Carney
15 Newman Street
Cambridge, Massachusetts, 02140

The package included: (1) a copy of the Application, entitled MICROPAYMENT PROCESSING METHOD AND SYSTEM, (2) an explanation of the Duty of Disclosure, (3) an original Declaration form, (4) an Assignment form, and (5) a cover letter requesting Mr. Carney to sign the Declaration. A copy of the cover letter, certified mail receipt, and signed return delivery receipt indicating that Mr. Carney personally signed for this package on December 27, 2007 are attached hereto as Exhibit B.

c. On March 04, 2008, I sent a second courtesy package to Mr. Solomon, via U.S. Postal Service Restricted Delivery Certified Mail No.: 7006 0100 0002 9488 3060 to the last known address of Mr. Solomon as set forth below:

Mr. Perry Solomon
111 85th Street E #17G
New York, New York, 10028

The second courtesy package included courtesy copies of: (1) the Application, entitled MICROPAYMENT PROCESSING METHOD AND SYSTEM, (2) an explanation of the Duty of Disclosure, (3) an original Declaration form, (4) an Assignment form, and (5) a second cover letter with an extended due date and a request to Mr. Solomon to sign the Declaration. A copy of the cover letter, the Declaration form, the Assignment form, certified mail receipt, U.S. Postal Service confirmation delivery form, and signed return delivery receipt are attached hereto as Exhibit C. The signed return delivery receipt indicates that Mr. Richard Giove signed for this package on March 7, 2008 and that the U.S. Postal Service accepted this signature on behalf of Mr. Solomon. As set forth in the Declaration of Nathan J. Koppelman (Exhibit D), an assistant to me, Mr. Richard Giove is a doorman at the 111 East 85th Street residence building.

d. On March 04, 2008, I sent a second courtesy package to Mr. Carney, via U.S. Postal Service Restricted Delivery Certified Mail No.: 7006 0100 0002 9488 3053 to the last known address of Mr. Carney as set forth below:

Mr. Robert Carney
15 Newman Street
Cambridge, Massachusetts, 02140

The package included: (1) a copy of the Application, entitled MICROPAYMENT PROCESSING METHOD AND SYSTEM, (2) an explanation of the Duty of Disclosure, (3) an original Declaration form, and (4) a second cover letter with an extended due date and a request to Mr. Carney to sign the Declaration. A copy of the cover letter, the Declaration form, certified mail receipt, U.S. Postal Service confirmation delivery form, and signed return delivery receipt are attached hereto as Exhibit E. The signed return


delivery receipt indicates that Mr. Carney personally signed for this package on March 29, 2008.

5. As of the date of this declaration, I have not received communication or a signed Declaration from Mr. Solomon or Mr. Carney.

6. Based on the foregoing and the documentary evidence submitted herewith, it is clear that the inventors' conduct constitutes either a refusal to sign or an unavailability of an inventor under 37 C.F.R. 1.47(a).

The undersigned declares that all statements made herein of her own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or of any patent issued thereon.

Dated this 24 day of April 2008.



Kellie S. Bickel, Ph.D.
Patent Agent
Perkins Coie LLP
1201 Third Avenue
Suite 4800
Seattle, WA 98101-3099

EXHIBIT A

Cover letter sent to Perry Solomon on December 18, 2007, certified mail receipt,
and signed return delivery receipt



1201 Third Avenue, Suite 4800
Seattle, WA 98101-3099
PHONE: 206.359.8000
FAX: 206.359.9000
www.perkinscoie.com

Kellie S. Bickel
PHONE: (206) 359-8118
FAX: (206) 359-9118
EMAIL: KBickel@perkinscoie.com

December 18, 2007

**VIA CERTIFIED MAIL
RESTRICTED DELIVERY**

Perry Solomon
111 85th Street E #17G
New York, New York 10028

**Re: U.S. Patent Application No. 10/553,611
Entitled: MICROPAYMENT PROCESSING METHOD AND SYSTEM
Attorney Docket No. 40176-8005US2
Previous Reference No. PEPL-0112**

Executive Summary

1. Please sign and date the attached Declaration and Assignment.
2. Please return the signed documents to us no later than **December 28, 2007**.

Dear Mr. Solomon:

Thank you for your time. Chockstone, Inc. has purchased Peppercoin Inc.'s patent assets. The US Patent Office has not received a Declaration of Inventorship signed by yourself on your own behalf for the above identified patent application. Accordingly, please sign the attached Declaration and an Assignment. I have also attached a copy of the above U.S Patent Application for your review and have outlined instructions below for confirming inventorship and disclosing "prior art" to the U.S. Patent and Trademark Office. Further information regarding these topics is enclosed with this letter.

Confirm Inventorship

In the United States, patent applications are filed (and patents issue) in the name of all the inventors. An inventor is one who made an inventive contribution to the method, apparatus or product defined by any one of the claims in the application. If someone later discovers that the list of inventors is incorrect and resulted from bad faith, any resulting patent could be invalidated. We understand that the inventors for U.S. Patent Application No. 10/553,611 are

40176-8005.US02/LEGAL13808787.1
12/17/07

ANCHORAGE • BEIJING • BELLEVUE • BOISE • CHICAGO • DENVER • LOS ANGELES • MENLO PARK
OLYMPIA • PHOENIX • PORTLAND • SAN FRANCISCO • SEATTLE • SHANGHAI • WASHINGTON, D.C.

Perkins Coie LLP and Affiliates

Perry Solomon
December 18, 2007
Page 2

Ronald Rivest, Silvio Micali, Perry Solomon, Robert Nix, Robert Carney, Prasad Jonnalagadda, Joseph Bergeron and Mark Bates.

Each inventor should sign and date the Declaration with his full legal name. By signing the Declaration, each inventor is, in effect, declaring that he does not know or believe the invention was: (a) known or used by others in the United States before he or she conceived of the invention; (b) in public use or offered for sale in the United States more than one year before this application was filed; or (c) patented or described in any printed publication in any country, either before he or she conceived of the invention or more than one year before filing this application. Each inventor is also declaring that he or she has read and understood the contents of the application, and has contributed to the conception of the invention as defined by the claims. If the Declaration requires any changes and/or edits to your name or address, please initial and date each edit. Each inventor should sign the Assignment before a Notary Public. After the Assignment has been signed, please return the signed 1) Declaration and 2) Assignment. Our final deadline to file these documents is January, 8, 2008. Kindly return these documents as well as the copy of the Application to us no later than December 28, 2007. We have included a pre-paid return envelope for your convenience.

You Must Disclose Information Materially Related to the Invention

The Patent Office regulations require the inventors, the patent attorney, and anyone else substantively involved in preparing or prosecuting the patent application to disclose to the Patent Office any information that may bear on the patent Examiner's decision to allow the application to issue as a patent. If you or anyone associated with this application is aware of any such information that you have not previously provided to us, please let us know.

Thank you for giving this matter your immediate attention. If you have any questions or comments, please contact me.

Very truly yours,



Kellie S. Bickel, Ph.D.
Patent Agent

Enclosures:

Declaration of Inventorship for U.S. Patent Application 10/553,611
Assignment for U.S. Patent Application 10/553,611
U.S. Patent Application 10/553,611
Duty of Disclosure

SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

Perry Solomon
111 89th Street E #176
New York, New York 10028

2. Article Number
(Transfer from service label)

7004 2890 0000 2852 3684

PS Form 3811, February 2004

Domestic Return Receipt

102595-02-M-1

COMPLETE THIS SECTION ON DELIVERY

Signature

[Signature] ☐ Agent ☐ Address

B. Received by (Printed Name)

C. Date of Delivery

D. Is delivery address different from item 1? ☐ Yes
If YES, enter delivery address below: ☐ No

3. Service Type

☒ Certified Mail ☐ Express Mail
☐ Registered ☐ Return Receipt for Merchandise
☐ Insured Mail ☐ C.O.D.

4. Restricted Delivery? (Extra Fee)

☒ Yes

U.S. Postal Service™

CERTIFIED MAIL™ RECEIPT

(Domestic Mail Only; No Insurance Coverage Provided)

For delivery information visit our website at www.usps.com

OFFICIAL MAIL

Postage	\$ 7.50
Certified Fee	2.65
Return Receipt Fee (Endorsement Required)	2.50
Restricted Delivery Fee (Endorsement Required)	
Total Postage & Fees	\$ 12.30

K. Bickel 40176-80051000

Sent To Perry Solomon

Street, Apt. No. or PO Box No. 111 89th Street E #176

City, State, ZIP+4 New York, New York 10028

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Kelli Bickel 41
1201 Third Avenue, Suite 4800
Seattle, WA 98101-3099

S. Bruzelton

40176-8005-1202

393



EXHIBIT B

Cover letter sent to Robert Carney on December 18, 2007, certified mail receipt,
and signed return delivery receipt



1201 Third Avenue, Suite 4800

Seattle, WA 98101-3099

PHONE: 206.359.8000

FAX: 206.359.9000

www.perkinscoie.com

Kellie S. Bickel

PHONE: (206) 359-8118

FAX: (206) 359-9118

EMAIL: KBickel@perkinscoie.com

December 18, 2007

**VIA CERTIFIED MAIL
RESTRICTED DELIVERY**

Robert Carney
15 Newman Street
Cambridge, Massachusetts 02140

**Re: U.S. Patent Application No. 10/553,611
Entitled: MICROPAYMENT PROCESSING METHOD AND SYSTEM
Attorney Docket No. 40176-8005US2
Previous Reference No. PEPL-0112**

Executive Summary

1. Please sign and date the attached Declaration and Assignment.
2. Please return the signed documents to us no later than **December 28, 2007**.

Dear Mr. Carney:

Thank you for your time. Chockstone, Inc. has purchased Peppercoin Inc.'s patent assets. The US Patent Office has not received a Declaration of Inventorship signed by yourself on your own behalf for the above identified patent application. Accordingly, please sign the attached Declaration and an Assignment. I have also attached a copy of the above U.S. Patent Application for your review and have outlined instructions below for confirming inventorship and disclosing "prior art" to the U.S. Patent and Trademark Office. Further information regarding these topics is enclosed with this letter.

Confirm Inventorship

In the United States, patent applications are filed (and patents issue) in the name of all the inventors. An inventor is one who made an inventive contribution to the method, apparatus or product defined by any one of the claims in the application. If someone later discovers that the list of inventors is incorrect and resulted from bad faith, any resulting patent could be invalidated. We understand that the inventors for U.S. Patent Application No. 10/553,611 are

40176-8005.US02/LEGAL13812287.1
12/18/07

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Perkins Coie LLP and Affiliates

Robert Carney
December 18, 2007
Page 2

Ronald Rivest, Silvio Micali, Perry Solomon, Robert Nix, Robert Carney, Prasad Jonnalagadda, Joseph Bergeron and Mark Bates.

Each inventor should sign and date the Declaration with his full legal name. By signing the Declaration, each inventor is, in effect, declaring that he does not know or believe the invention was: (a) known or used by others in the United States before he or she conceived of the invention; (b) in public use or offered for sale in the United States more than one year before this application was filed; or (c) patented or described in any printed publication in any country, either before he or she conceived of the invention or more than one year before filing this application. Each inventor is also declaring that he or she has read and understood the contents of the application, and has contributed to the conception of the invention as defined by the claims. If the Declaration requires any changes and/or edits to your name or address, please initial and date each edit. Each inventor should sign the Assignment before a Notary Public. After the Assignment has been signed, please return the signed 1) Declaration and 2) Assignment. Our final deadline to file these documents is January, 8, 2008. Kindly return these documents as well as the copy of the Application to us no later than December 28, 2007. We have included a pre-paid return envelope for your convenience.

You Must Disclose Information Materially Related to the Invention

The Patent Office regulations require the inventors, the patent attorney, and anyone else substantively involved in preparing or prosecuting the patent application to disclose to the Patent Office any information that may bear on the patent Examiner's decision to allow the application to issue as a patent. If you or anyone associated with this application is aware of any such information that you have not previously provided to us, please let us know.

Thank you for giving this matter your immediate attention. If you have any questions or comments, please contact me.

Very truly yours,



Kellie S. Bickel, Ph.D.
Patent Agent

Enclosures:

Declaration of Inventorship for U.S. Patent Application 10/553,611
Assignment for U.S. Patent Application 10/553,611
U.S. Patent Application 10/553,611
Duty of Disclosure

SENDER: COMPLETE THIS SECTION

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- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
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1. Article Addressed to:

Robert Carney
15 Newman Street
Cambridge, MA 02140

2. Article Number
(Transfer from service label)

7003 2260 0006 4290 4629

PS Form 3811, February 2004

Domestic Return Receipt

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A. Signature

X *Robert Carney* ☐ Agent
☒ Address

B. Received by (Printed Name)

C. Date of Delivery

D. Is delivery address different from item 1? ☐ Yes
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3. Service Type

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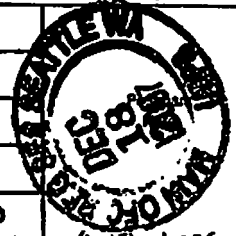
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Total Postage & Fees

k. Bicker

40176-1005-UK

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Robert Carney
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or PO Box No. 15 Newman Street
City, State, ZIP+4
Cambridge, MA 02140

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Kelli S. Bickel

1201 Third Avenue, Suite 4800
Seattle, WA 98101-3099

S. Brazelton

401710-8005-0502

053



EXHIBIT C

Second courtesy cover letter sent to Perry Solomon on March 04, 2008,
the Declaration form, the Assignment form, certified mail receipt, U.S. Postal Service
confirmation delivery form, and signed return delivery receipt



Kellie S. Bickel
PHONE: (206) 359-8118
FAX: (206) 359-9118
EMAIL: KBickel@perkinscoie.com

1201 Third Avenue, Suite 4800
Seattle, WA 98101-3099
PHONE: 206.359.8000
FAX: 206.359.9000
www.perkinscoie.com

March 4, 2008

**VIA CERTIFIED MAIL
RESTRICTED DELIVERY
RETURN RECEIPT REQUESTED**

Perry Solomon
111 85th Street E #17G
New York, New York 10028

**Re: U.S. Patent Application No. 10/553,611
Entitled: MICROPAYMENT PROCESSING METHOD AND SYSTEM
Attorney Docket No. 40176-8005US2
Previous Reference No. PEPL-0112**

Executive Summary

1. Please sign and date the attached Declaration and Assignment.
2. Please return the signed documents to us no later than April 15, 2008.

Dear Mr. Solomon:

Thank you for your time. Chockstone, Inc. has purchased Peppercoin Inc.'s patent assets. The U.S. Patent Office has not received a Declaration of Inventorship signed by yourself on your own behalf for the above identified patent application. Accordingly, please sign the attached Declaration and an Assignment. I have also attached a copy of the above U.S. Patent Application for your review and have outlined instructions below for confirming inventorship and disclosing "prior art" to the U.S. Patent and Trademark Office. Further information regarding these topics is enclosed with this letter.

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40176-8005.US02/LEGAL14037165.1

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Perkins Coie LLP and Affiliates

Perry Solomon
March 4, 2008
Page 2

Ronald Rivest, Silvio Micali, Perry Solomon, Robert Nix, Robert Carney, Prasad Jonnalagadda, Joseph Bergeron and Mark Bates.

Each inventor should sign and date the Declaration with his full legal name. By signing the Declaration, each inventor is, in effect, declaring that he does not know or believe the invention was: (a) known or used by others in the United States before he or she conceived of the invention; (b) in public use or offered for sale in the United States more than one year before this application was filed; or (c) patented or described in any printed publication in any country, either before he or she conceived of the invention or more than one year before filing this application. Each inventor is also declaring that he or she has read and understood the contents of the application, and has contributed to the conception of the invention as defined by the claims. If the Declaration requires any changes and/or edits to your name or address, please initial and date each edit. Each inventor should sign the Assignment before a Notary Public. After the Assignment has been signed, please return the signed (1) Declaration and (2) Assignment. Our deadline to file these documents is April 27, 2008. Kindly return these documents as well as the copy of the Application to us no later than April 15, 2008. We have included a pre-paid return envelope for your convenience.

You Must Disclose Information Materially Related to the Invention

The Patent Office regulations require the inventors, the patent attorney, and anyone else substantively involved in preparing or prosecuting the patent application to disclose to the Patent Office any information that may bear on the patent Examiner's decision to allow the application to issue as a patent. If you or anyone associated with this application is aware of any such information that you have not previously provided to us, please let us know.

Thank you for giving this matter your immediate attention. If you have any questions or comments, please contact me.

Very truly yours,



Kellie S. Bickel, Ph.D.
Patent Agent

KSB:njk

Enclosures:

Declaration of Inventorship for U.S. Patent Application 10/553,611
Assignment for U.S. Patent Application 10/553,611
U.S. Patent Application 10/553,611
Duty of Disclosure

Declaration for Patent Application English Language Declaration		Attorney Docket No.	401768005US2	
		First Named Inventor	Ronald L. Rivest	
COMPLETE IF KNOWN:				
<input type="checkbox"/> Submitted with initial filing <input checked="" type="checkbox"/> Submitted after initial filing (surcharge required 37 CFR 1.16(e))	Application No.		10/553,611-Conf. #1989	
	Filing Date		January 23, 2004	
	Art Unit		N/A	
	Examiner		Not Yet Assigned	

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

MICROPAYMENT PROCESSING METHOD AND SYSTEM

the specification of which

☐ is attached hereto

OR

☒ was filed on 01/23/2004

as United States Application No. or PCT International Application No. 10/553,611

and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign applications(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's right certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)			Priority Not Claimed	Certified Copy Attached	
				YES	NO
(Number)	(Country)	(Filing Date)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Filing Date)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Filing Date)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional prior foreign applications are listed on a supplemental data sheet attached hereto.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Ronald L. Rivest	
Sole or first inventor's signature	Date
Residence Arlington, Massachusetts	
Citizenship US	
Mailing Address 41 Academy Arlington, Massachusetts 02476	

Full name of second inventor Silvio Micali	
Second inventor's signature	Date
Residence Brookline, Massachusetts	
Citizenship US	
Mailing Address 459 Chestnut Hill Avenue Brookline, Massachusetts 02146	

Full name of third inventor Perry Solomon	
Third inventor's signature	Date
Residence New York, New York	
Citizenship US	
Mailing Address 111 85th Street E #17G New York, New York 10028	

Full name of fourth inventor, if any Robert Nix	
Fourth inventor's signature	Date
Residence Concord, Massachusetts	
Citizenship US	
Mailing Address 197 Belknap Street Concord, Massachusetts 01742	

Full name of fifth inventor, if any Robert Carney	
Fifth inventor's signature	Date
Residence Cambridge, Massachusetts	
Citizenship US	
Mailing Address 15 Newman Street Cambridge, Massachusetts 02140	

Full name of sixth inventor, if any Prasad Jonnalagadda	
Sixth inventor's signature	Date
Residence Acton, Massachusetts	
Citizenship US	
Mailing Address 43 Minot Avenue Acton, Massachusetts 01720	

Full name of seventh inventor, if any Joseph Bergeron	
Seventh inventor's signature	Date
Residence Palo Alto, California	
Citizenship US	
Mailing Address 1775 Alma Street Palo Alto, California 94301	

Full name of eighth inventor, if any Mark Bates	
Eighth inventor's signature	Date
Residence Malden, Massachusetts	
Citizenship US	
Mailing Address 64 Regent Road Malden, Massachusetts 02148	

ASSIGNMENT BY INVENTOR

This Assignment is by Perry Solomon (the "Assignor"), residing at 111 85th Street E #17G, New York, New York 10028. The Assignor has invented one or more certain inventions (the "Invention(s)") described in the Patent applications listed below:

- U.S. Provisional Application Number 60/442,486, entitled METHOD AND SYSTEM FOR MICROPAYMENT TRANSACTIONS, filed January 25, 2003;
- U.S. Provisional Application Number 60/456,741, entitled METHOD AND SYSTEM FOR MICROPAYMENT TRANSACTIONS, filed March 21, 2003;
- International Application Number PCT/US04/01845, entitled MICROPAYMENT PROCESSING METHOD AND SYSTEM, filed January 23, 2004; and
- U.S. Application Number 10/553,611, entitled MICROPAYMENT PROCESSING METHOD AND SYSTEM, filed _____.

The Assignor authorizes the Assignee, identified below, or its representatives to insert the official filing date of U.S. Application Number 10/553,661 when known.

Chockstone, Inc., a Corporation of Oregon having its principal place of business at 326 S.W. Broadway, Portland, Oregon 97205 ("Assignee"), desires to acquire the entire right, title and interest in and to the Invention(s) and the Application, and in and to any patents (collectively, "Patents") that may be granted for the Invention(s) in the United States or in any foreign countries.

For valuable consideration, the receipt and sufficiency of which Assignor acknowledges, Assignor hereby sells, assigns, and transfers to Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to: the Invention(s), the Application, and any Patents; any divisions, continuations, and continuations-in-part of the Application and any other application claiming priority rights from the Application; any reissues, reexaminations, or extensions of any and all Patents; the right to file foreign applications directly in the name of Assignee; and the right to claim priority rights deriving from the Application (collectively, the "Rights").

FIRST CLASS MAIL

1201 Third Avenue, Suite 4800
Seattle, WA 98101-3099

Perry Solomon
111 85th Street E #17G
New York, New York 10028

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<p>2. Article Number (Transfer from service label)</p> <p>7006 0100 0002 9488 3060</p>		<p>3. Service Type</p> <p><input checked="" type="checkbox"/> Certified Mail <input type="checkbox"/> Express Mail</p> <p><input type="checkbox"/> Registered <input type="checkbox"/> Return Receipt for Merchandise</p> <p><input type="checkbox"/> Insured Mail <input type="checkbox"/> C.O.D.</p>	
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1. Article Addressed to:

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A. Signature

X

Richard Gray

☐ Agent
☒ Addressee

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C. Date of Delivery

3/4/08

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City, State, ZIP+4 New York, NY 10028

PS Form 3800, June 2002

See Reverse for Instructions

EXHIBIT D

Declaration of Nathan J. Koppelman

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Rivest et al.

Application No.: 10/553,611

Confirmation No.: 1989

Filed: January 23, 2004

Art Unit: N/A

For: MICROPAYMENT PROCESSING METHOD
AND SYSTEM

Examiner: Not Yet Assigned

DECLARATION OF NATHAN J. KOPPELMAN
FILED IN SUPPORT OF SECOND RENEWED PETITION UNDER 37 C.F.R. § 1.47(a)

Mail Stop PCT
Commissioner for Patents
Office of PCT Legal Administration
P.O. Box 1450
Alexandria, VA 22313-1450

Attn: Rafael Bacares

Sir:

I, Nathan J. Koppelman, hereby declare and say that:

1. I am an assistant to Dr. Kellie S. Bickel, a patent agent and an authorized representative of Chockstone, Inc., the owner of the above-identified application ("the Application").
2. I am familiar with and have first-hand knowledge of the facts recited below.
3. I held a telephone conference on April 08, 2008 with Mr. Bob Tullis who declared himself the superintendent of 111 East 85th Street residence building (the "Residence Building"). During the telephone conference, Mr. Tullis communicated to me that Mr. Richard Giove is a doorman at the Residence Building. Mr. Tullis further

communicated to me that residents of the Residence Building commonly give permission for the building representatives to accept packages on their behalf.

The undersigned declares that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or of any patent issued thereon.

Dated this 24th day of April 2008.



Nathan J. Koppelman
Paralegal Assistant
Perkins Coie LLP
1201 Third Avenue
Suite 4800
Seattle, WA 98101-3099

EXHIBIT E

Second courtesy cover letter sent to Robert Carney on March 04, 2008,
the Declaration form, certified mail receipt, U.S. Postal Service confirmation
delivery form, and signed return delivery receipt



1201 Third Avenue, Suite 4800

Seattle, WA 98101-3099

PHONE: 206.359.8000

FAX: 206.359.9000

www.perkinscoie.com

Kellie S. Bickel
PHONE: (206) 359-8118
FAX: (206) 359-9118
EMAIL: KBickel@perkinscoie.com

March 4, 2008

**VIA CERTIFIED MAIL
RESTRICTED DELIVERY
RETURN RECEIPT REQUESTED**

Robert Carney
15 Newman Street
Cambridge, Massachusetts 02140

**Re: U.S. Patent Application No. 10/553,611
Entitled: MICROPAYMENT PROCESSING METHOD AND SYSTEM
Attorney Docket No. 40176-8005US2
Previous Reference No. PEPL-0112**

Executive Summary

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2. Please return the signed document to us no later than April 15, 2008.

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40176-8005.US02/LEGAL14037183.1

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Perkins Coie LLP and Affiliates

Robert Carney
March 4, 2008
Page 2

Ronald Rivest, Silvio Micali, Perry Solomon, Robert Nix, Robert Carney, Prasad Jonnalagadda, Joseph Bergeron and Mark Bates.

Each inventor should sign and date the Declaration with his full legal name. By signing the Declaration, each inventor is, in effect, declaring that he does not know or believe the invention was: (a) known or used by others in the United States before he or she conceived of the invention; (b) in public use or offered for sale in the United States more than one year before this application was filed; or (c) patented or described in any printed publication in any country, either before he or she conceived of the invention or more than one year before filing this application. Each inventor is also declaring that he or she has read and understood the contents of the application, and has contributed to the conception of the invention as defined by the claims. If the Declaration requires any changes and/or edits to your name or address, please initial and date each edit. After the Declaration has been signed, please return the signed Declaration. Our deadline to file this document is April 27, 2008. Kindly return this document as well as the copy of the Application to us no later than April 15, 2008. We have included a pre-paid return envelope for your convenience.

You Must Disclose Information Materially Related to the Invention

The Patent Office regulations require the inventors, the patent attorney, and anyone else substantively involved in preparing or prosecuting the patent application to disclose to the Patent Office any information that may bear on the patent Examiner's decision to allow the application to issue as a patent. If you or anyone associated with this application is aware of any such information that you have not previously provided to us, please let us know.

Thank you for giving this matter your immediate attention. If you have any questions or comments, please contact me.

Very truly yours,



Kellie S. Bickel, Ph.D.
Patent Agent

KSB:njk

Enclosures:

Declaration of Inventorship for U.S. Patent Application 10/553,611
U.S. Patent Application 10/553,611
Duty of Disclosure

Declaration for Patent Application English Language Declaration		Attorney Docket No.	401768005US2	
		First Named Inventor	Ronald L. Rivest	
		COMPLETE IF KNOWN:		
<input type="checkbox"/> Submitted with initial filing <input checked="" type="checkbox"/> Submitted after initial filing (surcharge required 37 CFR 1.16(e))	Application No.	10/553,611-Conf. #1989		
	Filing Date	January 23, 2004		
	Art Unit	N/A		
	Examiner	Not Yet Assigned		

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

MICROPAYMENT PROCESSING METHOD AND SYSTEM

the specification of which

☐ is attached hereto
OR
☒ was filed on 01/23/2004
as United States Application No. or PCT International Application No. 10/553,611
and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's right certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)			Priority Not Claimed	Certified Copy Attached	
				YES	NO
(Number)	(Country)	(Filing Date)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Filing Date)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Filing Date)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional prior foreign applications are listed on a supplemental data sheet attached hereto.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Ronald L. Rivest	
Sole or first inventor's signature	Date
Residence Arlington, Massachusetts	
Citizenship US	
Mailing Address 41 Academy Arlington, Massachusetts 02476	

Full name of second inventor Silvio Micali	
Second inventor's signature	Date
Residence Brookline, Massachusetts	
Citizenship US	
Mailing Address 459 Chestnut Hill Avenue Brookline, Massachusetts 02146	

Full name of third inventor Perry Solomon	
Third inventor's signature	Date
Residence New York, New York	
Citizenship US	
Mailing Address 111 85th Street E #17G New York, New York 10028	

Full name of fourth inventor, if any Robert Nix	
Fourth inventor's signature	Date
Residence Concord, Massachusetts	
Citizenship US	
Mailing Address 197 Belknap Street Concord, Massachusetts 01742	

Full name of fifth inventor, if any Robert Carney	
Fifth inventor's signature	Date
Residence Cambridge, Massachusetts	
Citizenship US	
Mailing Address 15 Newman Street Cambridge, Massachusetts 02140	

Full name of sixth inventor, if any Prasad Jonnalagadda	
Sixth inventor's signature	Date
Residence Acton, Massachusetts	
Citizenship US	
Mailing Address 43 Minot Avenue Acton, Massachusetts 01720	

Full name of seventh inventor, if any Joseph Bergeron	
Seventh inventor's signature	Date
Residence Palo Alto, California	
Citizenship US	
Mailing Address 1775 Alma Street Palo Alto, California 94301	

Full name of eighth inventor, if any Mark Bates	
Eighth inventor's signature	Date
Residence Malden, Massachusetts	
Citizenship US	
Mailing Address 64 Regent Road Malden, Massachusetts 02148	

FIRST CLASS MAIL

Third Avenue, Suite 4800
Seattle, WA 98101-3099

Robert Carney
15 Newman Street
Cambridge, Massachusetts 02140

VIA CERTIFIED MAIL
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- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

Robert Carney
15 Newman Street
Cambridge, MA 02140

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A. Signature ☐ Agent
X Addressee

B. Received by (Printed Name) C. Date of Delivery

D. Is delivery address different from item 1? ☐ Yes
If YES, enter delivery address below: ☐ No

3. Service Type
☒ Certified Mail ☐ Express Mail
☐ Registered ☐ Return Receipt for Merchandise
☐ Insured Mail ☐ C.O.D.

4. Restricted Delivery? (Extra Fee) ☒ Yes

2. Article Number 7006 0100 0002 9488 3053

(Transfer from service label)

PS Form 3811, February 2004

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Search Results

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Detailed Results:

- Delivered, March 29, 2008, 9:14 am, CAMBRIDGE, MA 02140
- Notice Left, March 08, 2008, 3:49 pm, CAMBRIDGE, MA 02140
- Arrival at Unit, March 06, 2008, 7:33 am, CAMBRIDGE, MA 02140

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POSTAL SERVICE**Track/Confirm - Intranet Item Inquiry**
Item Number: 7006 0100 0002 9488 3053**This item was delivered on 03/29/2008 at 09:14**

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Address:	15 Newman St

Enter Request Type and Item Number:Quick Search ☒Extensive Search ☐[Explanation of Quick and Extensive Searches](#)

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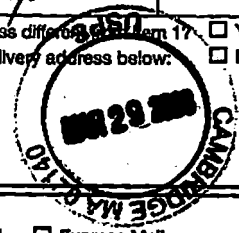
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Restricted Delivery Fee (Endorsement Required)	
Total Postage & Fees	\$ 12.30
Sent To <u>Robert Carney</u> Street, Apt. No. <u>15 Newman Street</u> City, State, ZIP+4 <u>Cambridge MA 02140</u>	
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Postmark Here
3/4/08

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<ul style="list-style-type: none"> Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired. Print your name and address on the reverse so that we can return the card to you. Attach this card to the back of the mailpiece, or on the front if space permits. 	<p>A. Signature <input checked="" type="checkbox"/> <u>Robert Carney</u> <input type="checkbox"/> Agent <input type="checkbox"/> Addressee</p> <p>B. Received by (Printed Name) <u>R Carney</u></p> <p>C. Date of Delivery <u>3/4/08</u></p> <p>D. Is delivery address different from item 1? <input type="checkbox"/> Yes If YES, enter delivery address below: <input type="checkbox"/> No</p>
<p>1. Article Addressed to:</p> <p>Robert Carney 15 Newman Street Cambridge, MA 02140</p>	<p>3. Service Type <input checked="" type="checkbox"/> Certified Mail <input type="checkbox"/> Express Mail <input type="checkbox"/> Registered <input type="checkbox"/> Return Receipt for Merchandise <input type="checkbox"/> Insured Mail <input type="checkbox"/> C.O.D.</p> <p>4. Restricted Delivery? (Extra Fee) <input checked="" type="checkbox"/> Yes</p>
<p>2. Article Number (Transfer from service label)</p>	<p>7006 0100 0002 9488 3053</p>
<p>PS Form 3811, February 2004 Domestic Return Receipt 102595-02-M-1540</p>	



Attachment D

**Decision on Renewed Petition under 37 C.F.R. § 1.47(a) mailed
February 27, 2008**

27 FEB 2008



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FEB 29 2008

In re Application of
Rivet et al
PCT No.: PCT/US04/01845
Application No: 10/553,611
Int. Filing Date: 23 January 2004
Priority Date: 25 January 2005
Attorney's Docket No.: 67065-037 (PEPL-0112)
For: MICROPAYMENT PROCESSING
METHOD AND SYSTEM

: DECISION ON RENEWED
:
:
: PETITION UNDER
:
:
: 37 CFR 1.47(a)/ 1.181
:
:

This is in response to the "RENEWED PETITION UNDER 37 CFR 1.47(a)," filed on 08 January 2008.

BACKGROUND

In a decision from this Office on 08 June 2007, the initial petition under 37 CFR 1.47(a) was dismissed. The decision stated that items (1)- (4) under 37 CFR 1.47(a) were not met, and the decision set a time period of response of two (2) months and extensions of time were available under 37 CFR 1.136(a).

On 05 December 2007, the United States Patent and Trademark Office mailed a "NOTICE OF ABANDONMENT" stating that the above-identified application is abandoned for failure to timely reply to the Decision on Petition mailed on 06/08/2007.

On 08 January 2008, petitioner submitted a "Renewed Petition Under 37 CFR 1.47(a) and Petition to Withdraw Holding of Abandonment under 37 CFR 1.181(a)" requesting reconsideration of the Office's decision of 08 June 2007, and to withdraw the abandonment mailed on 05 December 2007.

DISCUSSION

PETITION UNDER 37 CFR 1.181:

A review of the filed reveals that petitioner had time to response to the Decision mailed on 08 June 2007, and that petitioner's response on 08 January 2008 accompanied by a petition for a five-month extension time is timely.

The renewed petition under 37 CFR 1.47(a) is timely.

The petition under 37 CFR 1.181 is **GRANTED**. The Notice of Abandonment mailed on 05 December 2007 was in error and is hereby **VACATED**.

PETITION UNDER 37 CFR 1.47(a):

A petition under 37 CFR 1.47(a) must be accompanied by (1) the fee under 37 CFR 1.17(h), (2) factual proof that the missing joint inventor refuses to execute the application or cannot be reached after diligent effort, (3) a statement of the last known address of the missing inventor, and (4) an oath or declaration by each 37 CFR 1.47(a) applicant on his or her own behalf and on behalf of the non-signing joint inventor.

The renewed petition satisfies requirements (1), (3), and (4) under 37 CFR 1.47(a) but not item (2).

Regarding requirement (1), petitioner has provided the complete fee of \$200.00 under 37 CFR 1.17(g).

With respect to requirement (2), although the averments of Ms. Kellie S. Bickel and the signed postal receipts are sufficient to support a *bona fide* attempt was made to present a copy of the application papers (specification, including claims, drawings, and oath or declaration) to the nonsigning inventors for their signatures, the time period for their responses before filing the petition was too short. The application papers were mailed on December 18, 2007 and received on December 22, 2007 and December 27, 2007 by Mr. Gione and Mr. Carney, respectively but the renewed petition was filed on 08 January 2008 (less than a month for the nonsigning inventors to read, and decide to whether to respond). In fact, an email from Perry Solomon on December 21, 2007 states that the "end of year is very busy time." Accordingly, this is a short time period (about two weeks) to make a determination that they refuse to sign the papers.

With respect to requirement (3), a statement of the last known address of the missing inventors have been provided.

- (i) Perry Solomon
111 85th Street E #17G
New York, New York 10028
- (ii) Robert Carney
15 Newman Street
Cambridge, Massachusetts 02140

Regarding requirement (4), petitioner has provided an executed declaration signed by Ronald L. Rivest, Silvio Micali, Robert Nix, Prasad Jonnalagadda, Joseph Bergeron iii and Mark Bates on their behalf and on the behalf of the nonsigning joint inventors Perry Solomon and Robert Carney.

Consequently, the petition does not satisfy all the requirements under 37 CFR 1.47(a).

CONCLUSION

The petition under 37 CFR 1.47(a) is **DISMISSED** without prejudice.

The petition under 37 CFR 1.181 is **GRANTED**.

If reconsideration of the merits of the petition under 37 CFR 1.47(a) is desired, applicant must file a request for reconsideration within **TWO (2) MONTHS** from the mail date of this Decision. Any reconsideration request should include a cover letter entitled "Second Renewed Petition Under 37 CFR 1.47(a)." Extensions of time are available under 37 CFR 1.136(a). Failure to timely file the proper response will result in **ABANDONMENT**.

Any further correspondence with respect to this matter should be addressed to the Mail Stop PCT, Commissioner for Patents, Office of PCT Legal Administration, P.O. Box 1450, Alexandria, Virginia 22313-1450, with the contents of the letter marked to the attention of the Office of PCT Legal Administration.



Rafael Bacares

PCT Legal Examiner

PCT Legal Office

Telephone: (571) 272-3267

Facsimile: (571) 273-0459